

# CO MPS CI 682

## Neural Networks:

# A Modern Introduction

### Lecture 1:

## Course Organization & Introduction of Deep Learning

# Who we are

- Instructors
  - Prof. Subhransu Maji
  - Prof. Chuang Gan
  
- TAs:

# Course web page

- <https://cvi-umass.github.io/compsci682-fall-2023>

Event Type	Date	Description	Course Materials
Lecture	Tuesday, Sep 5	Intro to Deep Learning, historical context.	<a href="#">[slides]</a> <a href="#">[python/numpy tutorial]</a> <a href="#">[colab tutorial]</a> <a href="#">[jupyter tutorial]</a>
Lecture	Thursday, Sep 7	Image classification and the data-driven approach k-nearest neighbor Linear classification	
Lecture	Tuesday, Sep 12	Loss Functions Optimization	
Lecture	Thursday, Sep 14	Backpropagation & Neural Networks I	
Optional Discussion	Friday, Sept. 15	Python setup, Google Colab, and the basics of Python	

# Optional Discussion Sections

- Friday: 9:00-10:00 am, CS142
  - No discussion section this Friday
- Will cover background topics such as:
  - Python techniques
    - slicing and broadcasting
    - Other parallelization techniques
  - Math techniques
    - Derivatives of vectors, matrices, etc.
    - Complex chain rule examples

# 682 Neural Networks: A Modern Introduction

- Topics: first half of the class.
  - Intro to supervised learning with k-nearest neighbors
  - Support vector machines
  - Logistic regression for classification
  - Feed forward neural nets
    - Backpropagation
    - Batch normalization
    - Drop-out
    - Speed optimizations
  - Convolutional neural nets

# 682 Neural Networks: A Modern Introduction

- Balance of theory vs. practice
  - Heavily tilted toward practice.
  - Examples:
    - Regularization will be used, but not much theory of it.
    - No proofs of convergence
  - Instead:
    - Develop applications “from scratch”
    - Build “layered” architectures from scratch so new models can be easily assembled
    - Implement popular add-ons such as batch normalization
    - Learn techniques for training and setting hyperparameters.

# 682 Neural Networks: A Modern Introduction

- Applications
  - Mostly **Computer Vision**: Object recognition in particular.
  - However, can easily be applied to other domains.
    - You will learn what you need to know to apply neural nets broadly.
  - Will add more about **Natural Language Processing** (or **Large Language Models**) this semester.

# 682 Neural Networks: A Modern Introduction

- What this course is *not*:
  - General course on machine learning
  - General course on graphical models
  - Not even a general class on deep learning!!!
    - No Bayes Nets
    - No restricted Boltzmann machines or deep Boltzmann machines
  - Not a computer vision survey class
    - No tracking, stereo, depth estimation, etc., etc.



# Course grades

- 3 Long Programming Assignments
  - Get started as soon as assignments are posted.
  - Most assignments require require in-depth understanding of python, such as numpy arrays and complicated indexing schemes..
  - If you don't know Python, please consider either withdrawing this class or working through tutorial now

# Grading Policy (approximate)

- 3 Problem sets:  $15\% * 3 = 45\%$
- Midterm exam: **15%** - Mid November
- Final Course project: **40%**
  - Proposal: 5% (out of 40%)
  - Milestone: 5% (out of 40%)
  - Final write-up: 20% (out of 40%)
  - Review of others: 10% (out of 40%)
- Late Policy:
  - **7 free late days** in total: use them as you see fit
  - Afterwards: 25% off per day late
  - Not accepted after 3 late days
  - Does not apply to final course project (must be on time)

# Getting Started

- Example: Mac
  - Language: Python
    - i. Instructions for installing given under first assignment instructions.
    - ii. Development environment: Jupyter Notebook. Live code environment.
      - Poll
  - Running a shell on the side: Jupyter QtConsole
    - i. Good for testing syntax, return values of functions.

# Assignment #1

- Soon posted on course website
- Due in 3 weeks (Thursday, Sept. 23, 11:55pm) (in GradeScope).
- It includes:
  - Write/train/evaluate a kNN classifier
  - Write/train/evaluate a Linear Classifier (SVM and Softmax)
  - Write/train/evaluate a 2-layer Neural Network (backpropagation!)
  - Requires writing numpy/Python code

Compute: Use your own laptops. Talk to TA if you don't have your own computer.

# Plagiarism and Cheating

# Who, me?

- Right now, cheating seems very far away.
- Now imagine:
  - You just started homework due in 2 days.  
You realize it will take you a week.
  - You just had an internship interview where they asked you if you are getting an A in Neural Nets.
  - You have a midterm tomorrow and a project due in another class in one week.
  - You were just surfing the web for information on Python slicing and you bumped into a full solution to the current problem set.  
*Perhaps I should just take a quick peek...*

Don't do it!!!!!!!!!!!!

# Cheating in the past

- 10% students were caught cheating during a recent semester.
- They were given penalties including
  - 0 for the given assignment
  - An additional grade reduction for the class.
  - A filing with the Academic Dishonesty board.
- Many people failed the class as a result.



# UMass Culture

- If you cheat, you put me and a lot of other people in an awful position:
  - If I let you off the hook, I am being completely unfair to people who actually did the work, and I'm promoting the idea that it's ok.
  - If I punish you, I feel like a jerk, and you think I'm a jerk.
- The bottom line is, there is no good way to come out feeling good about a cheating incident. It creates massive stress between faculty and students. Please don't do it!

# Advice

- Everyone knows you're not supposed to cheat.
  - What people don't know is what you're supposed to do when you're desperate. Here's some advice:
- 1) If you're overloaded in the middle of the semester, consider dropping a class. Hopefully you can drop it without a "W", but even a "W" is a lot better than an "F" and a record of cheating. A "W" will not influence your grade point average.  
(I dropped the same class 4 times in grad school!)
  - 2) Take a "0" on part of the problem set. Many people who did not do part of one problem set got an A-. Some people missed a whole problem set and still got a B for the course.

# 5 Rules: What is cheating?

1. Let's start with an easy one. Don't copy any piece of the solution of any problem.
2. **Never look at solutions to any of the homework problems. Most people who were caught cheating last semester claimed that they only "looked at" on-line solutions. This is NOT ALLOWED.**
3. Do not look at discussions of the homework problems. These are likely to include methods for solving parts of the problem, which is cheating.
4. Don't look up pieces of the problem on Google. For example:
  - a. "Computing the derivative of softmax"
  - b. "Gradient updates for the multi-class SVM loss".Once you've done the search, you cheated. You are likely to see something you cannot forget. You can't "unsee" the answer once you've seen it.
5. Common sense. If you look at something on the web and it made the problem easier, then you're probably cheating. To be safe, stick to class materials, TAs, and Professors.

# Questions about what is allowed

1. Question: Can I work with other students on the homeworks?  
Answer: No. Do the homeworks yourself.
2. Question: Where can I get help?
  - a. Look at the course notes
  - b. Go to optional Friday sections
  - c. Talk to the TAs
  - d. Talk to the professor
3. Can I look at on-line materials that are not part of the course?
  - a. Basically no. If you look at something and it's part of the solution, then you have cheated. So it's dangerous to go surfing around. Stick to the materials on the course web site. If there is something you want to look up, ask the TAs a question and we'll try to put materials on the course web site if it's appropriate.

# Plagiarism

- When you write your final report, there are two ways you can use material from other papers:
  - Use the general ideas from another paper with your own writing. You *\*cannot\** copy text from another paper unless you use quotation marks. Example:
    - In his famous 1915 paper, Einstein introduced the theory of general relativity [Einstein, 1915].
  - Quote a specific passage, usually because of the exact way it is worded:
    - Einstein said, “God does not place dice with the universe.” [Einstein, 1958]

# Plagiarism

- You cannot copy sentences into your writing and justify by citing the paper. This is plagiarism, whether you cite it or not.

If I Google a sentence in your paper that is not quoted, and I find it, that means you were plagiarising!

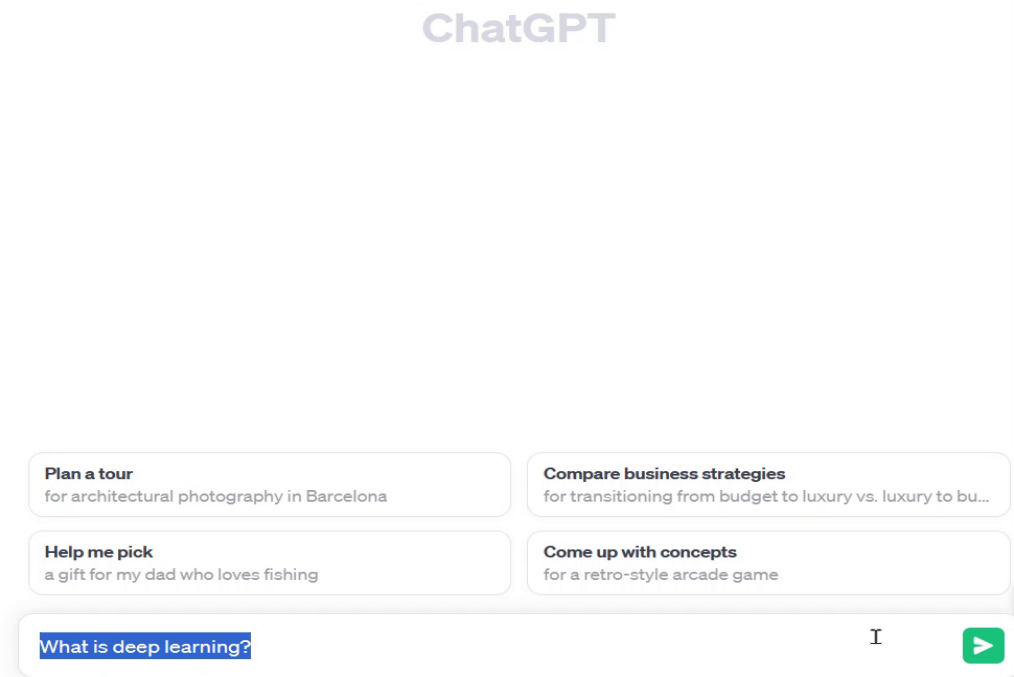
Final comment: If you don't know whether something constitutes plagiarism or cheating, ASK! If you don't ask, it will be too late.

OK.... now on to the fun stuff!

# What is Deep Learning?

Recently, OpenAI developed a **new deep learning system** with abilities:

- Conversation
- Language Translation
- Text Summarization
- Data Analysis
- Code Debug
- Travel Guide
- Music Composition
- Math Problem Solving
- ...



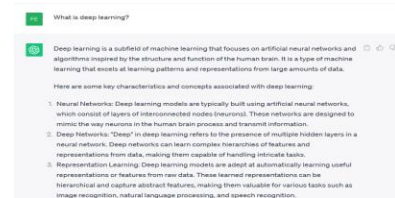
# Why Deep Learning?

ChatGPT

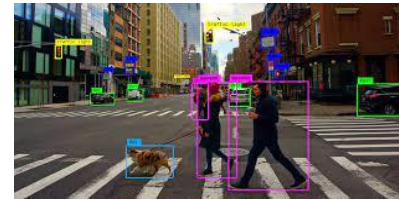
Show me a code snippet  
of a website's sticky header

Brainstorm names  
for my fantasy football team with a frog theme

## ➤ Natural Language Processing



## ➤ Computer Vision



## ➤ Reinforcement Learning



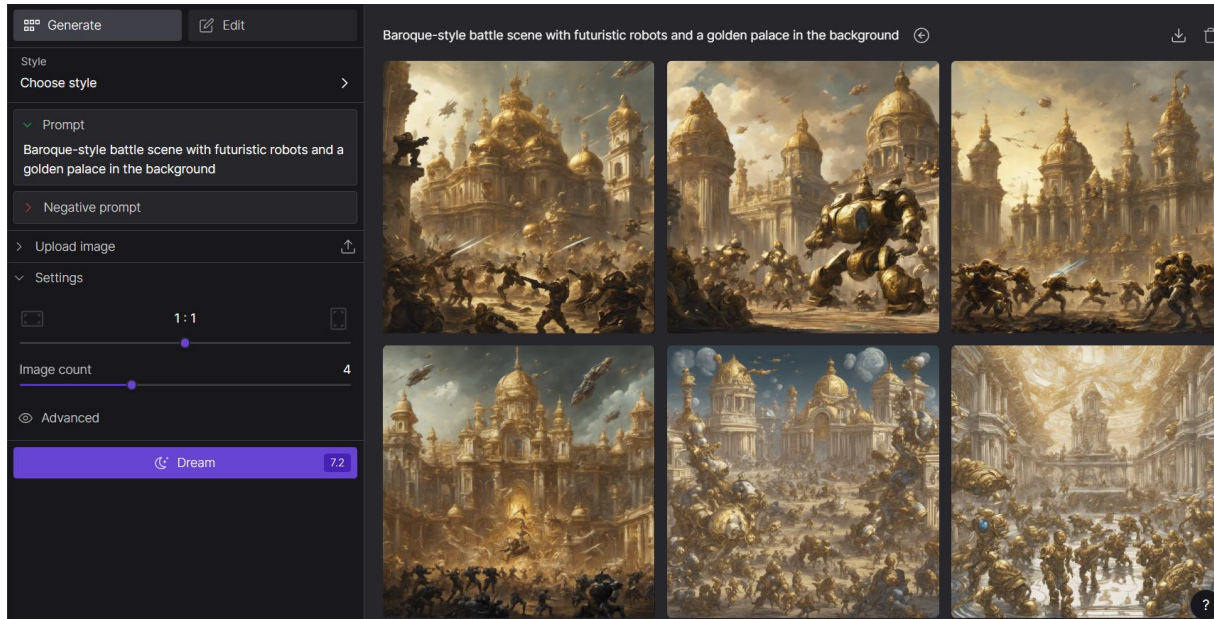


# Why Deep Learning?

## *Stable Diffusion*

stability.ai

- Generate images / Edit existing images based on the text prompt



# Why Deep Learning?



## MusicGen

- Generate music based on the text prompt

Describe your music

A light country song with guitars

Condition on a melody (optional) File or Mic

file  mic

Microphone

Record from microphone

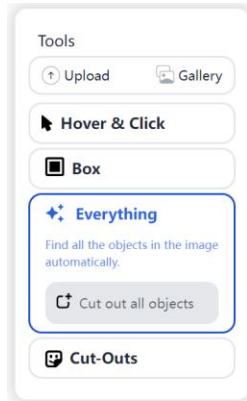
Generate

# Why Deep Learning?



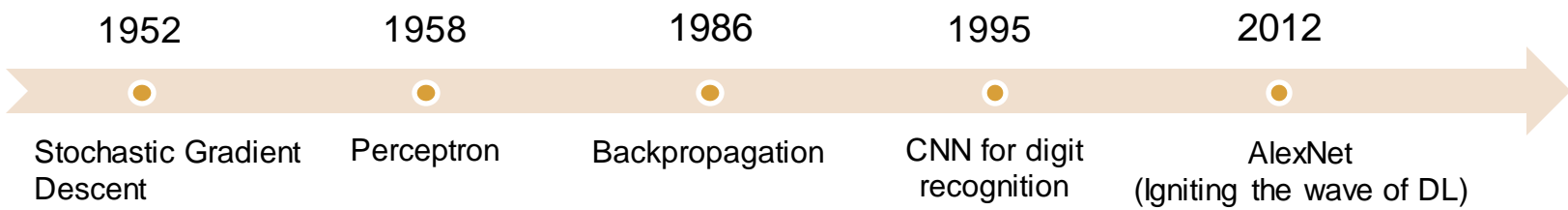
## *Segment Anything*

- Segment image based on segmentation prompt



# Why Now?

Neural networks have a history of over 70 years, but deep learning surged in the last decade.



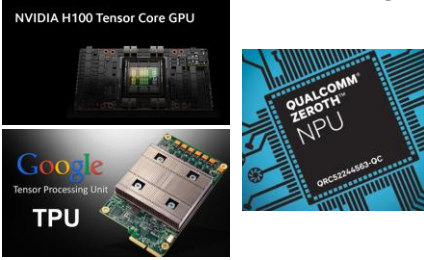
## Big data

- Large Datasets
- Advances in data collection & storage



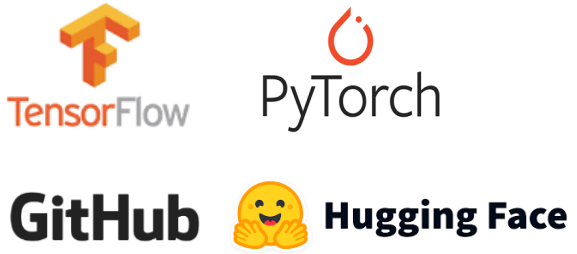
## Hardware

- GPU acceleration
- AI-specific chips
- Distributed computing



## Software

- Open-Source Frameworks
- Active Community



# Image Classification: a core task in Computer Vision



(assume given set of discrete labels)  
{dog, cat, truck, plane, ...}



cat

# The problem: *semantic gap*

Images are represented as  
3D arrays of numbers, with  
integers between [0, 255].

E.g.  
300 x 100 x 3

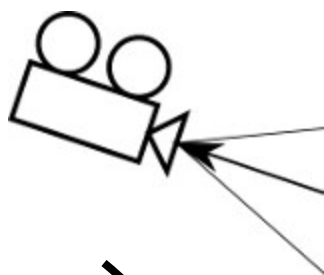
(3 for 3 color channels RGB)



08	02	22	97	38	15	00	40	00	75	04	03	07	78	52	12	50	77	91	74
49	49	99	40	17	81	18	57	60	87	17	40	98	43	69	48	04	56	62	00
81	49	31	73	55	79	14	29	93	71	40	67	52	88	30	03	49	13	36	65
82	70	95	23	04	60	11	42	68	17	88	56	01	32	56	71	37	02	36	91
22	31	16	71	51	67	09	59	41	92	36	54	22	40	40	28	66	33	13	80
24	47	38	60	99	03	45	02	44	75	33	53	78	36	84	20	35	17	12	50
32	98	81	28	64	23	67	10	26	38	40	67	59	54	70	66	18	38	64	70
67	26	20	68	02	62	12	20	95	63	94	39	63	08	40	91	66	49	94	21
24	55	58	05	66	73	99	26	97	17	78	78	96	83	14	88	34	89	63	72
21	36	23	09	75	00	76	44	20	45	35	14	00	61	33	97	34	31	33	95
78	17	53	28	22	75	31	67	15	94	03	80	04	62	16	14	09	53	56	92
16	39	05	42	96	35	31	47	55	58	88	24	00	17	54	24	36	29	85	57
86	56	00	48	35	71	89	07	05	44	44	37	44	60	21	58	51	54	17	58
19	80	81	68	05	94	47	69	28	73	92	13	86	52	17	77	04	89	55	40
04	52	08	83	97	35	99	16	07	97	57	32	16	26	24	79	33	27	98	66
85	16	68	87	57	62	20	72	03	46	33	67	46	55	12	32	63	93	53	69
04	42	16	73	58	95	39	11	24	94	72	18	08	46	29	32	40	62	76	36
20	69	36	41	72	30	23	88	31	68	89	69	82	67	59	85	74	04	36	16
20	73	35	29	78	31	90	01	74	31	49	71	48	88	81	16	23	57	05	54
01	70	84	71	83	51	54	69	16	92	33	48	61	43	52	01	89	51	77	48

What the computer sees

# Challenges: Viewpoint Variation



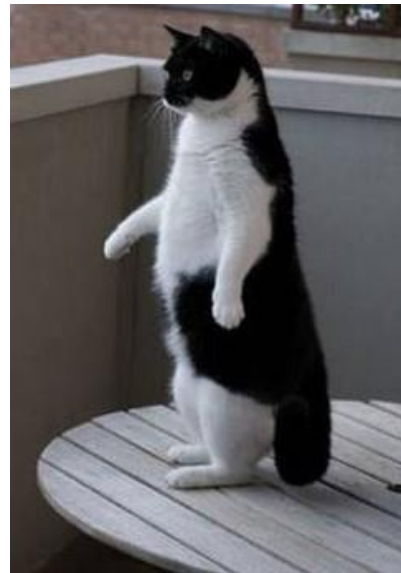
08	02	22	97	38	15	00	40	00	75	04	05	07	78	52	12	50	77	06	58
49	49	99	40	17	81	18	57	60	87	17	40	98	43	69	48	04	56	62	00
81	49	31	73	55	79	14	29	93	71	40	67	54	98	30	03	49	13	36	65
82	70	95	23	04	60	11	42	68	94	48	56	01	32	56	71	37	02	36	91
22	31	16	71	51	63	85	89	41	92	36	54	22	40	40	28	66	33	13	80
24	47	31	60	99	03	45	02	44	75	33	53	78	36	84	20	35	17	12	50
52	98	81	28	64	23	67	10	26	38	40	67	59	54	70	66	18	38	64	70
67	26	20	68	02	62	12	20	95	63	94	39	63	08	40	91	66	49	94	21
24	55	58	05	66	73	99	26	97	17	78	78	96	83	14	88	34	89	63	72
21	36	23	09	75	00	76	44	20	45	35	14	00	61	33	97	34	31	33	95
78	17	53	28	22	75	31	67	15	94	03	80	04	62	16	14	09	53	56	92
16	39	05	42	96	35	31	47	55	58	88	24	00	17	54	24	36	29	85	57
86	56	00	48	35	71	89	07	05	44	44	37	44	60	21	58	51	54	17	58
19	80	81	68	05	94	47	69	28	73	92	13	86	52	17	77	04	89	55	40
04	52	08	83	97	35	99	16	07	97	57	32	16	26	26	79	33	27	98	66
51	46	68	87	57	62	20	72	03	46	33	67	46	55	12	32	63	93	53	69
04	42	16	73	35	85	39	11	24	94	72	18	08	46	29	32	40	62	76	36
20	69	36	41	72	30	23	88	34	60	89	69	82	67	59	85	74	04	36	16
20	73	35	29	78	31	90	01	74	31	49	71	46	88	81	16	23	87	05	84
03	70	84	71	83	51	54	69	16	92	33	48	63	43	52	01	89	77	63	48

# Challenges: Illumination





# Challenges: Deformation



# Challenges: Occlusion



# Challenges: Background clutter



# Challenges: Intraclass variation



# An image classifier

```
def predict(image):  
    # ????  
    return class_label
```

Unlike e.g. sorting a list of numbers,

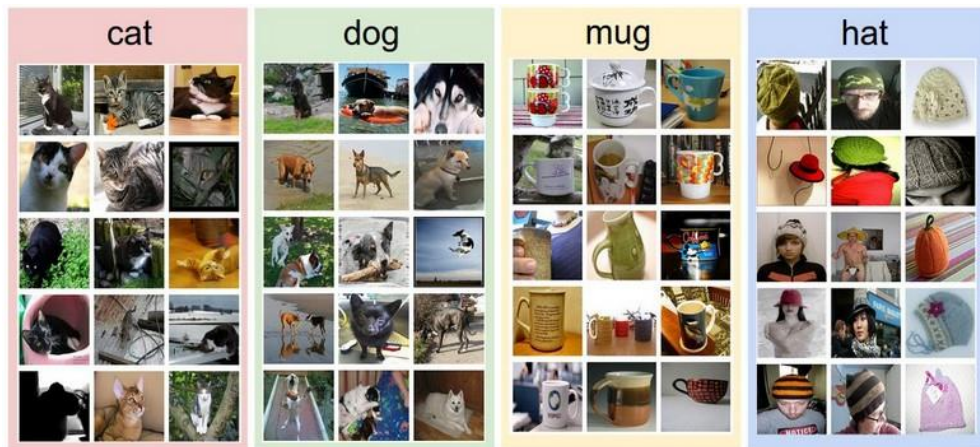
**no obvious way** to hand-code the algorithm for recognizing a cat, or other classes.

# Data-driven approach:

1. Collect a dataset of images and labels
2. Use Machine Learning to train an image classifier
3. Evaluate the classifier on a withheld set of test images

```
def train(train_images, train_labels):  
    # build a model for images -> labels...  
    return model  
  
def predict(model, test_images):  
    # predict test_labels using the model...  
    return test_labels
```

Example training set



# First classifier: **Nearest Neighbor Classifier**

```
def train(train_images, train_labels):  
    # build a model for images -> labels...  
    return model  
  
def predict(model, test_images):  
    # predict test_labels using the model...  
    return test_labels
```

Remember all training images and their labels

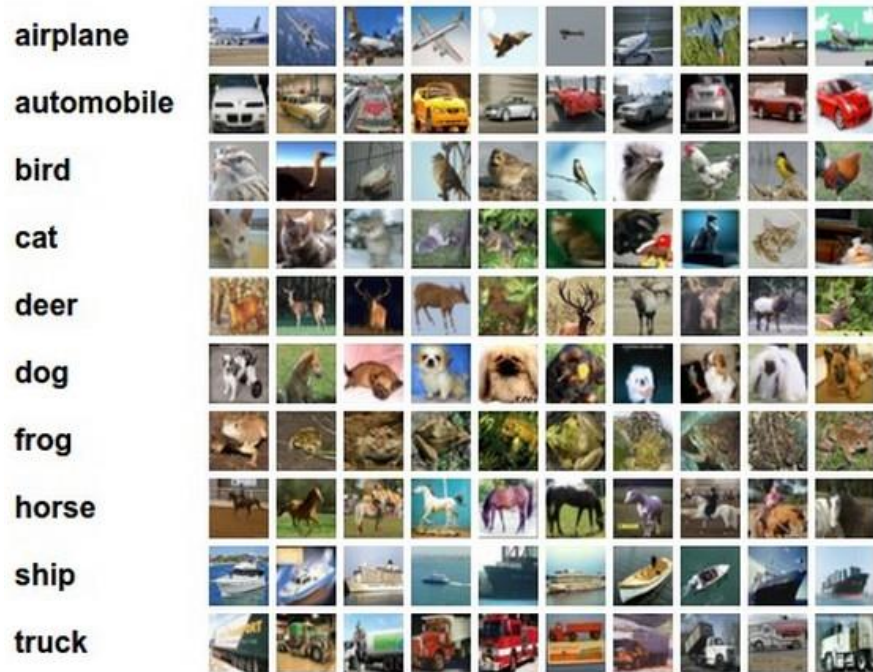
Predict the label of the most similar training image

# Example dataset: **CIFAR-10**

**10** labels

**50,000** training images, each image is tiny: 32x32

**10,000** test images.





# Example dataset: CIFAR-10

10 labels

50,000 training images

10,000 test images.

airplane



automobile



bird



cat



deer



dog



frog



horse



ship



truck



For every test image (first column),  
examples of nearest neighbors in rows



How do we compare the images? What is the **distance metric**?

**L1 distance:** 
$$d_1(I_1, I_2) = \sum_p |I_1^p - I_2^p|$$

test image		training image		pixel-wise absolute value differences				
56	32	10	18	46	12	14	1	= $\xrightarrow{\text{add}}$ 456
90	23	128	133	82	13	39	33	
24	26	178	200	12	10	0	30	
2	0	255	220	2	32	22	108	

# Nearest Neighbor classifier

```
import numpy as np

class NearestNeighbor:
    def __init__(self):
        pass

    def train(self, X, y):
        """ X is N x D where each row is an example. Y is 1-dimension of size N """
        # the nearest neighbor classifier simply remembers all the training data
        self.Xtr = X
        self.ytr = y

    def predict(self, X):
        """ X is N x D where each row is an example we wish to predict label for """
        num_test = X.shape[0]
        # lets make sure that the output type matches the input type
        Ypred = np.zeros(num_test, dtype = self.ytr.dtype)

        # loop over all test rows
        for i in xrange(num_test):
            # find the nearest training image to the i'th test image
            # using the L1 distance (sum of absolute value differences)
            distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
            min_index = np.argmin(distances) # get the index with smallest distance
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example

        return Ypred
```

## Nearest Neighbor classifier

```
import numpy as np
```

```
class NearestNeighbor:
```

```
    def __init__(self):  
        pass
```

```
    def train(self, X, y):  
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```

remember the training data

# Nearest Neighbor classifier

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            min_index = np.argmin(distances) # get the index with smallest distance
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example

        return Ypred
```

- for every test image:
- find nearest train image with L1 distance
  - predict the label of nearest training image

```
import numpy as np
```

```
class NearestNeighbor:
```

```
    def __init__(self):  
        pass
```

```
    def train(self, X, y):  
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        # lets make sure that the output type matches the input type  
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```

```
        # loop over all test rows
```

```
        for i in xrange(num_test):  
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```

```
        return Ypred
```

## Nearest Neighbor classifier

**Q: how does the classification speed depend on the size of the training data?**

**Do a poll...**

```
import numpy as np
```

```
class NearestNeighbor:
```

```
    def __init__(self):  
        pass
```

```
    def train(self, X, y):  
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            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example  
  
        return Ypred
```

## Nearest Neighbor classifier

Q: how does the classification speed depend on the size of the training data?  
**linearly :(**

This is **backwards**:  
- test time performance is usually much more important in practice.  
- CNNs flip this:  
expensive training,  
cheap test evaluation

The choice of distance is a **hyperparameter**  
common choices:

L1 (Manhattan) distance

$$d_1(I_1, I_2) = \sum_p |I_1^p - I_2^p|$$

L2 (Euclidean) distance

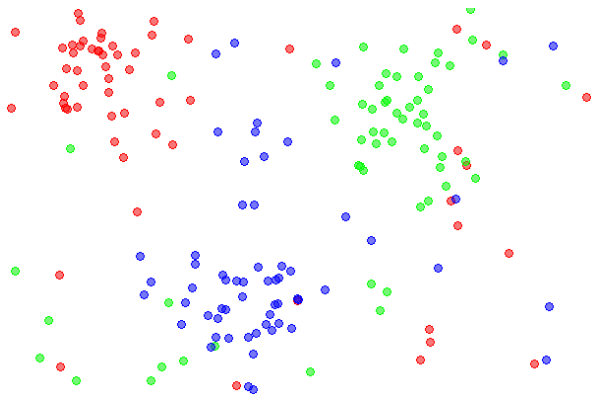
$$d_2(I_1, I_2) = \sqrt{\sum_p (I_1^p - I_2^p)^2}$$



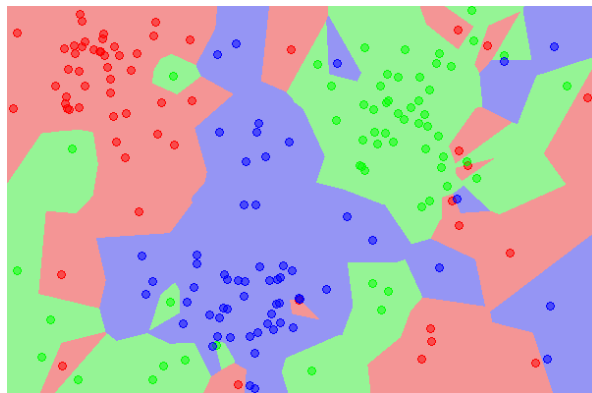
# k-Nearest Neighbor

find the k nearest images, have them vote on the label  
(Do a poll)

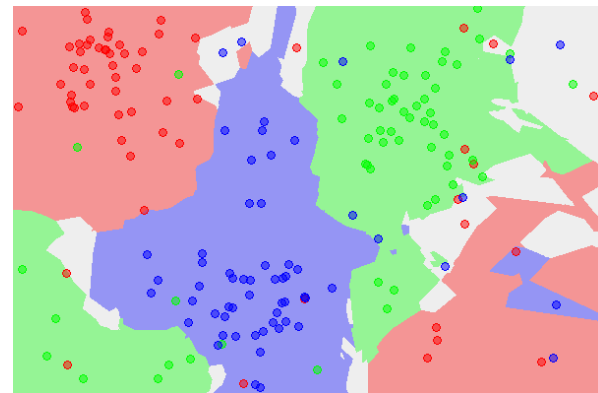
the data



NN classifier



5-NN classifier



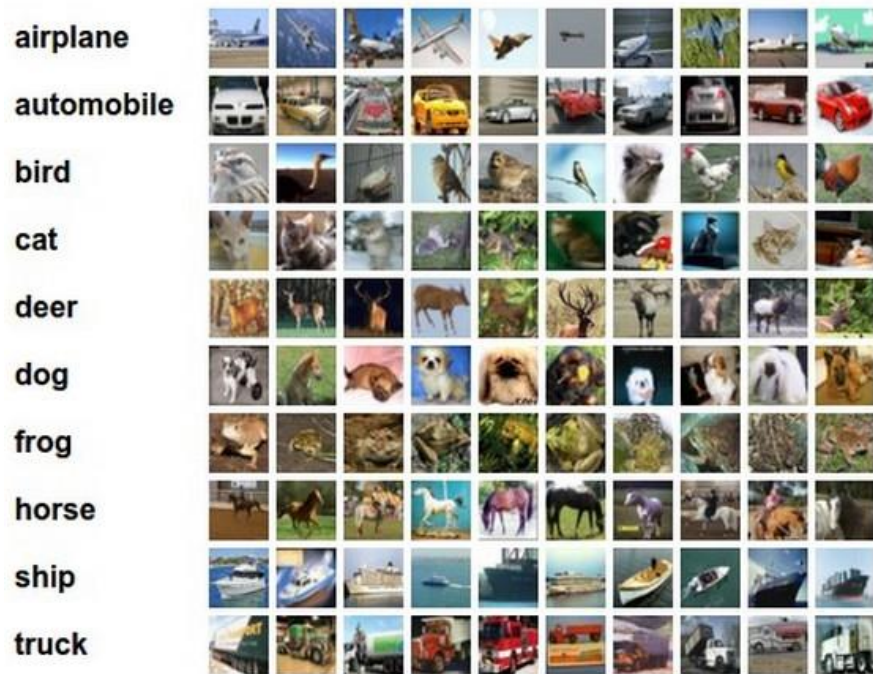
[http://en.wikipedia.org/wiki/K-nearest\\_neighbors\\_algorithm](http://en.wikipedia.org/wiki/K-nearest_neighbors_algorithm)

# Example dataset: **CIFAR-10**

**10** labels

**50,000** training images

**10,000** test images.



For every test image (first column),  
examples of nearest neighbors in rows

