

# Lecture 2: Image Classification, Nearest Neighbor and Linear Classifiers

# Image classification



(assume given set of discrete labels)  
{dog, cat, truck, plane, ...}



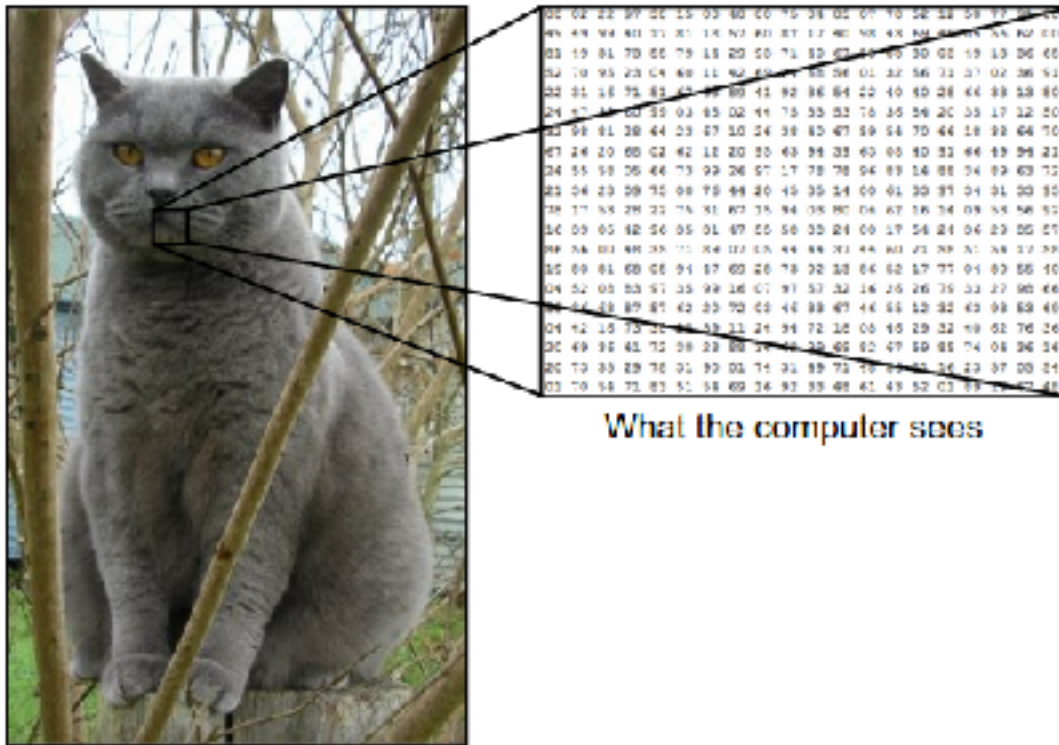
cat

# Challenges: Semantic gap

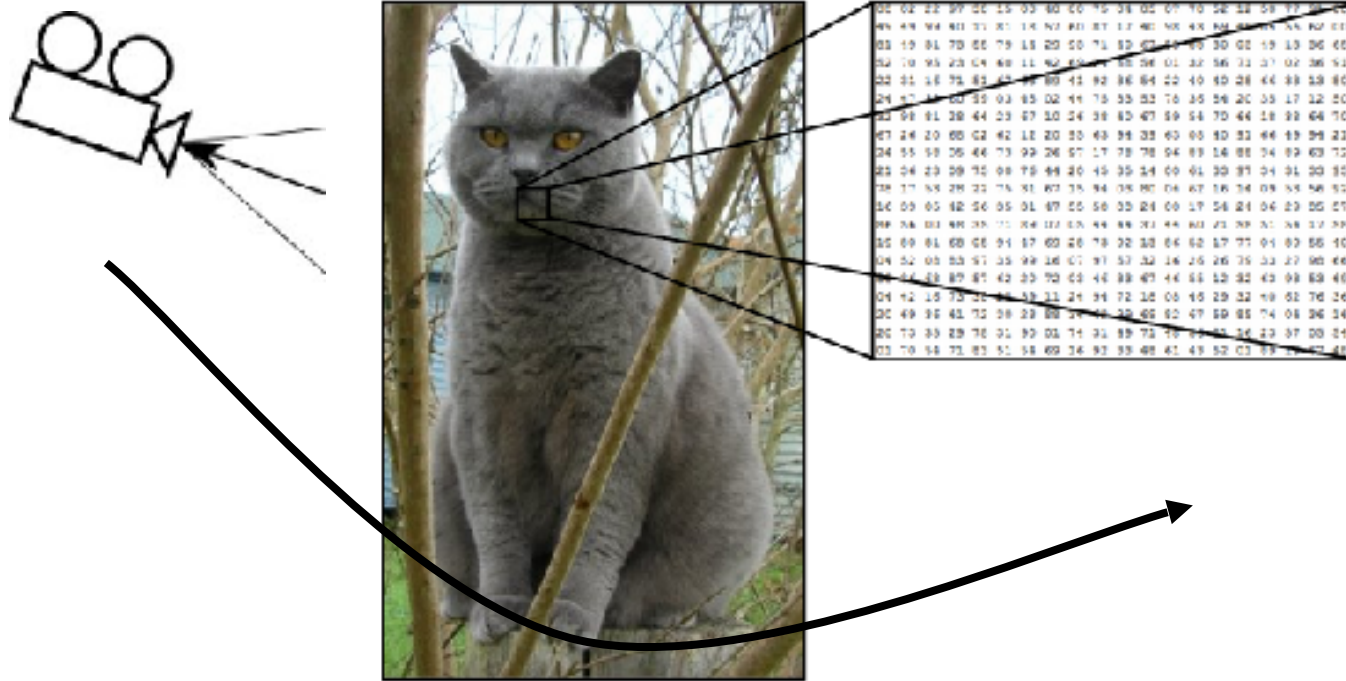
Images are represented as  
3D arrays of numbers, with  
integers between  $[0, 255]$ .

E.g.  
 $300 \times 100 \times 3$

(3 for 3 color channels RGB)



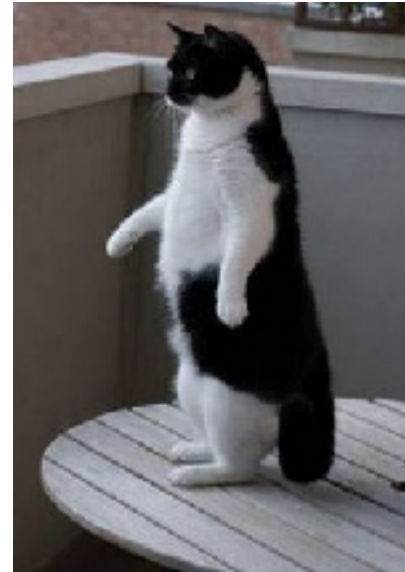
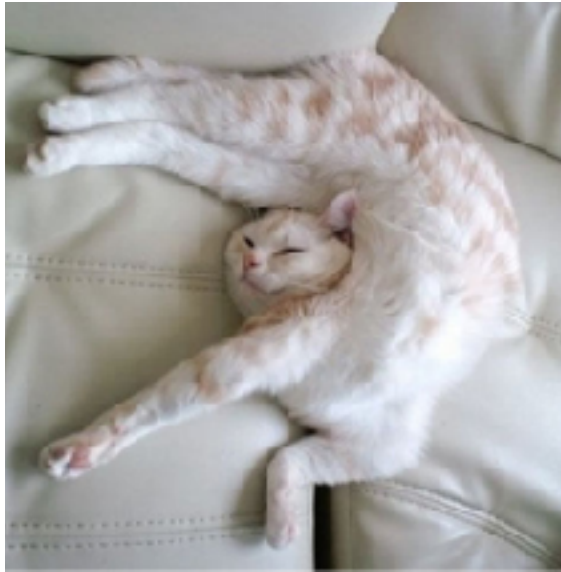
# Challenges: Viewpoint Variation



# Challenges: Illumination



# Challenges: Deformation





# Challenges: Occlusion



# Challenges: Background clutter





# Challenges: Intraclass variation



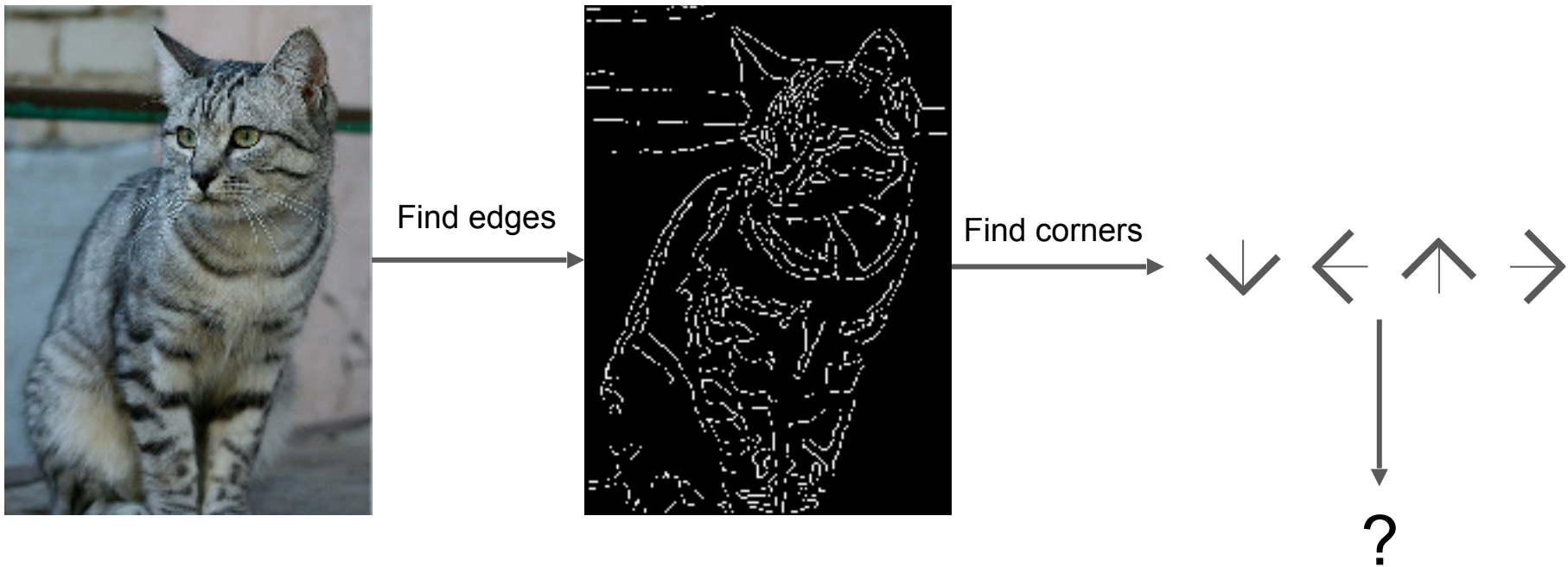
# Writing an image classifier

```
def predict(image):  
    # ???  
    return class_label
```

Unlike e.g. sorting a list of numbers,

**no obvious way** to hand-code the algorithm for recognizing a cat, or other classes.

# Attempts have been made



John Canny, "A Computational Approach to Edge Detection", IEEE TPAMI 1986

# Machine Learning: Data Driven Approach

1. Collect a dataset of images and labels
2. Use Machine Learning algorithms to train a classifier
3. Evaluate the classifier on new images

Example training set

```
def train(train_images, train_labels):  
    # build a model for images -> labels...  
    return model  
  
def predict(model, test_images):  
    # predict test_labels using the model...  
    return test_labels
```



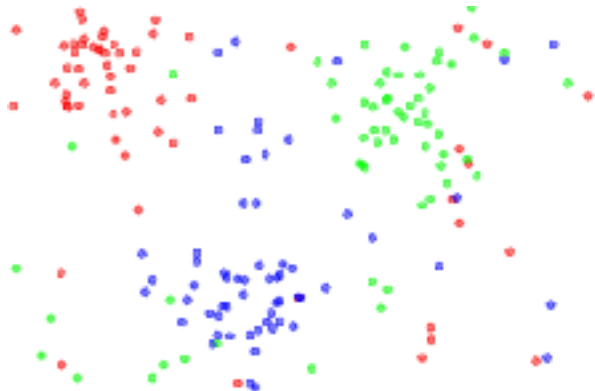
# Nearest Neighbor Classifier



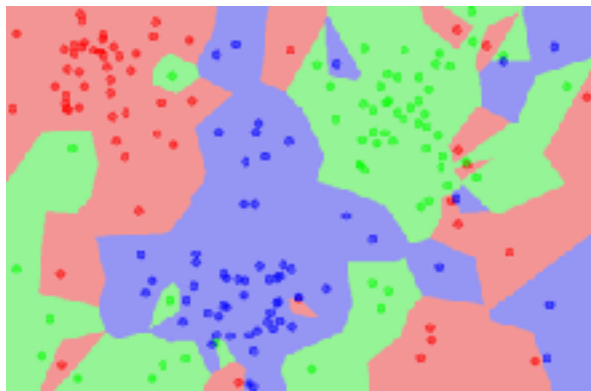
# k-Nearest Neighbor

find the k nearest images, have them vote on the label

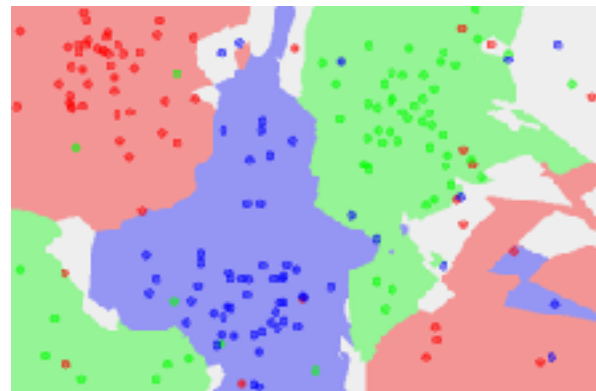
the data



NN classifier



5-NN classifier



[http://en.wikipedia.org/wiki/K-nearest\\_neighbors\\_algorithm](http://en.wikipedia.org/wiki/K-nearest_neighbors_algorithm)

# Example dataset: **CIFAR-10**

**10** labels

**50,000** training images

**10,000** test images

airplane



automobile



bird



cat



deer



dog



frog



horse



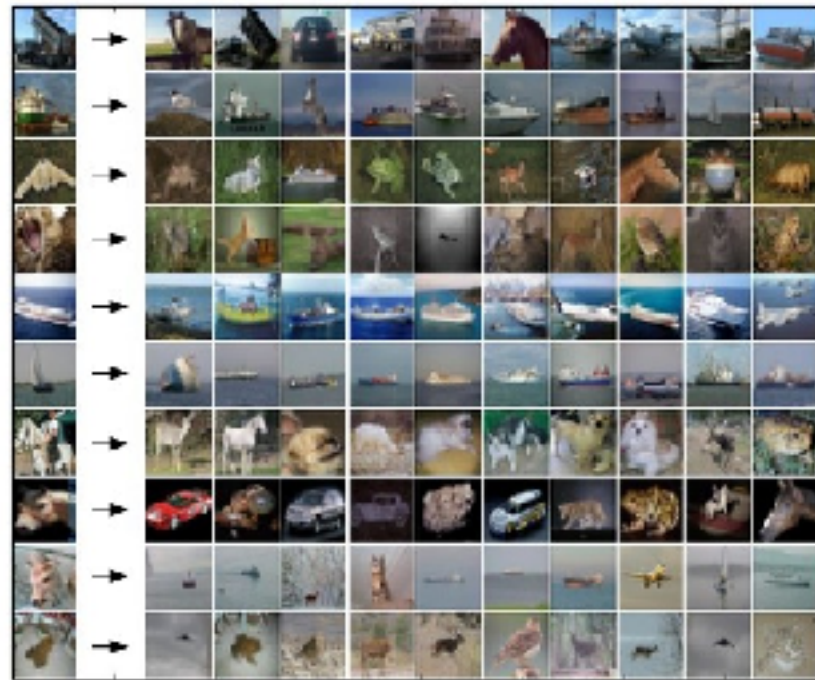
ship



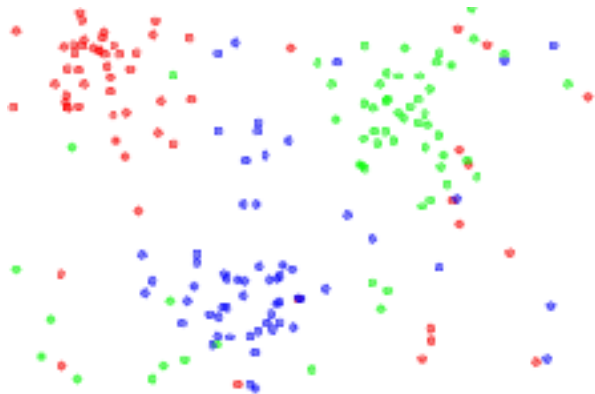
truck



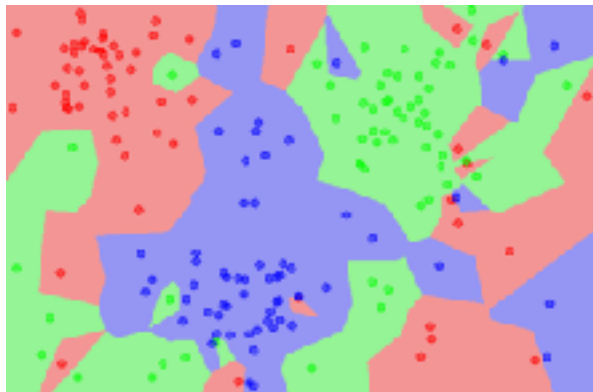
For every test image (first column),  
examples of nearest neighbors in rows



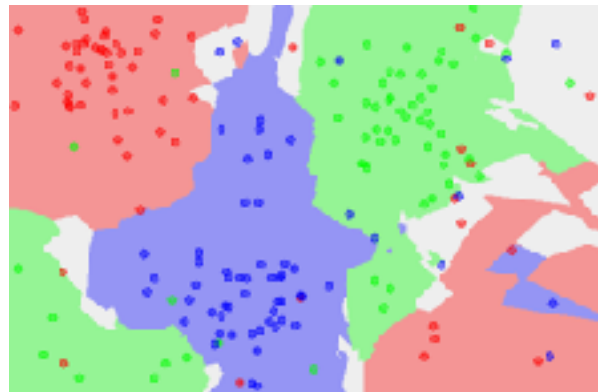
the data



NN classifier

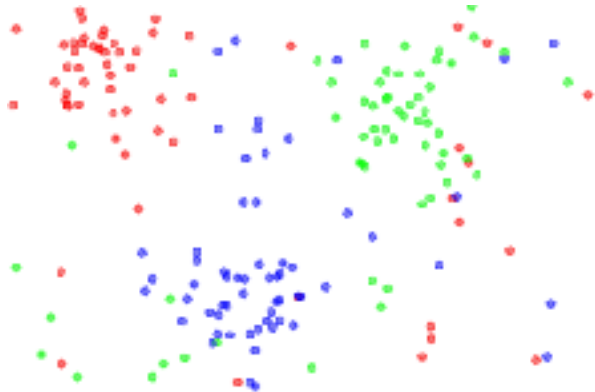


5-NN classifier

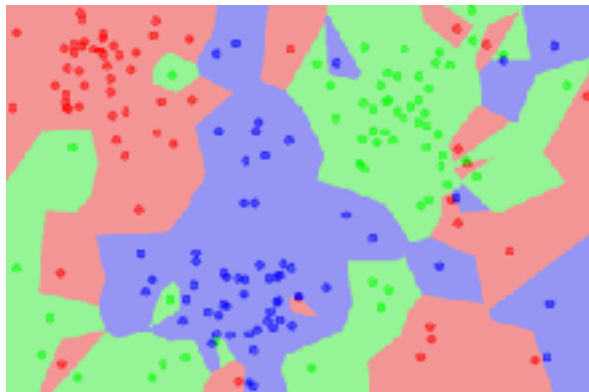


**Q:** what is the accuracy of the nearest neighbor classifier on the training data, when using the Euclidean distance?

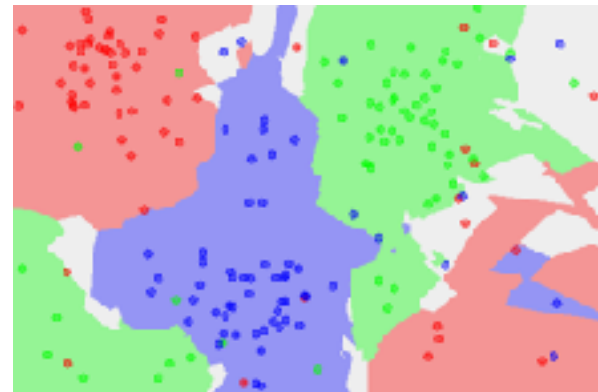
the data



NN classifier



5-NN classifier



**Q:** what is the accuracy of the **k**-nearest neighbor classifier on the training data?

What is the best **distance** to use?  
What is the best value of **k** to use?

i.e. how do we set the **hyperparameters**?

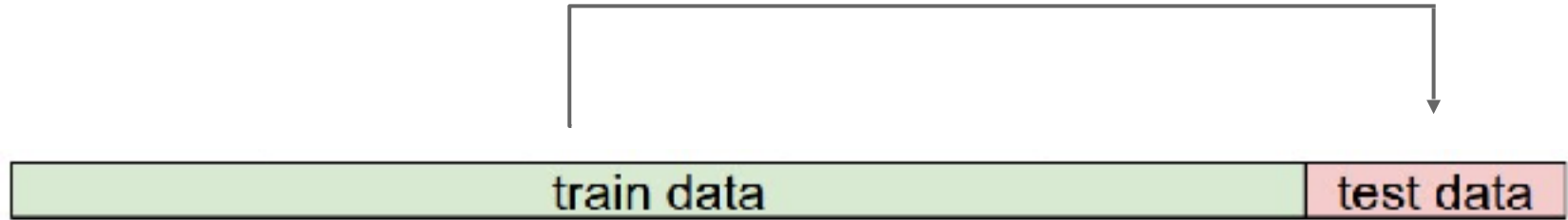


What is the best **distance** to use?  
What is the best value of **k** to use?

i.e. how do we set the **hyperparameters**?

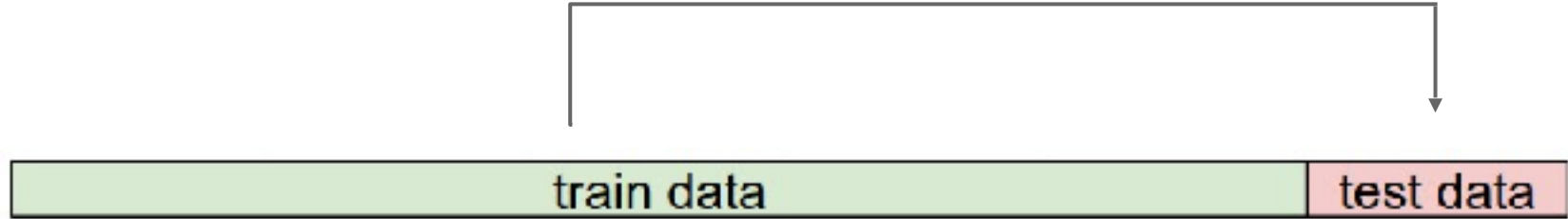
Very problem-dependent.  
Must try them all out and see what works best.

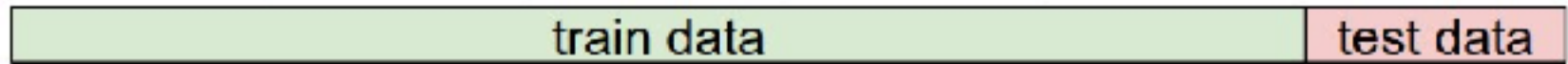
Trying out what hyperparameters work best on test set.



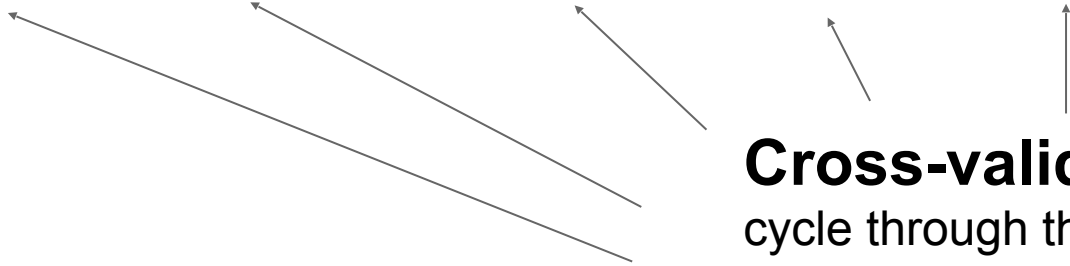
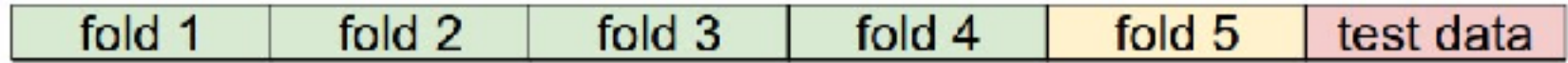
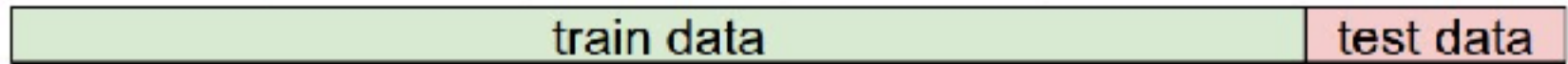
Trying out what hyperparameters work best on test set:

Very bad idea. The test set is a proxy for the generalization performance!  
Use only **VERY SPARINGLY**, at the end.





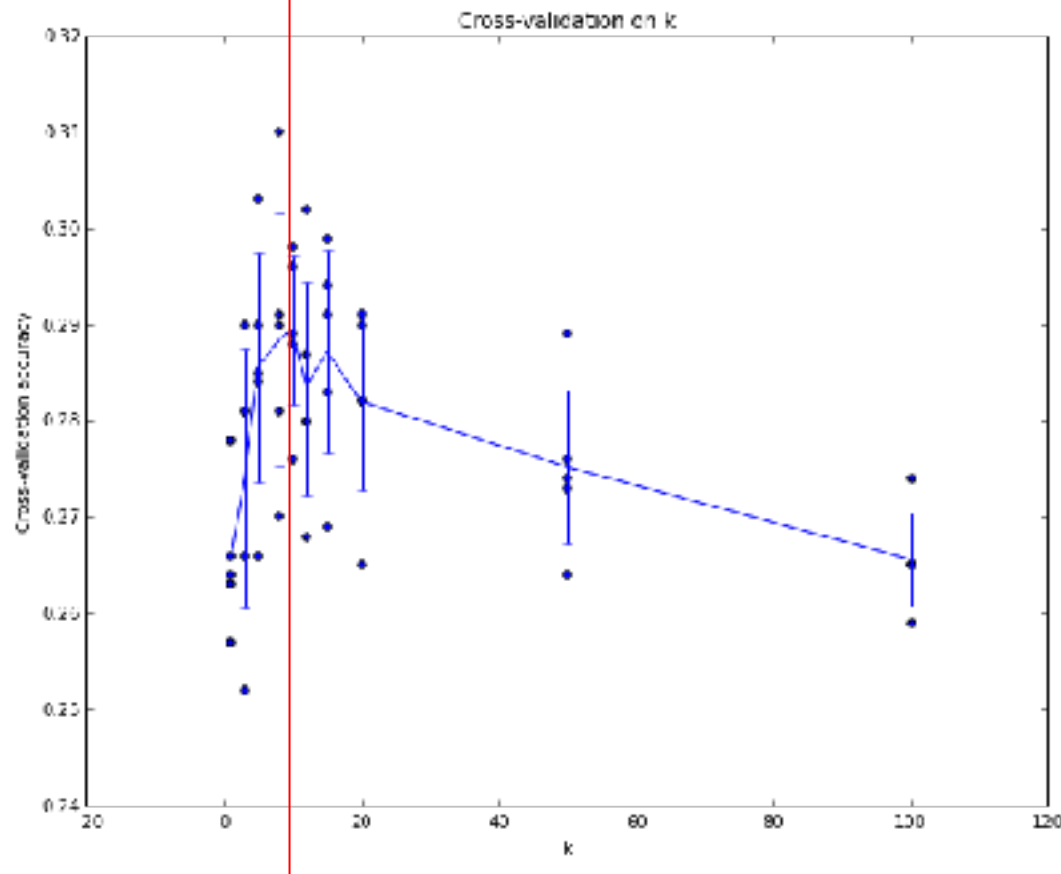
**Validation data**  
use to tune hyperparameters



## Cross-validation

cycle through the choice of which fold is the validation fold, average results.





Example of  
5-fold cross-validation  
for the value of **k**.

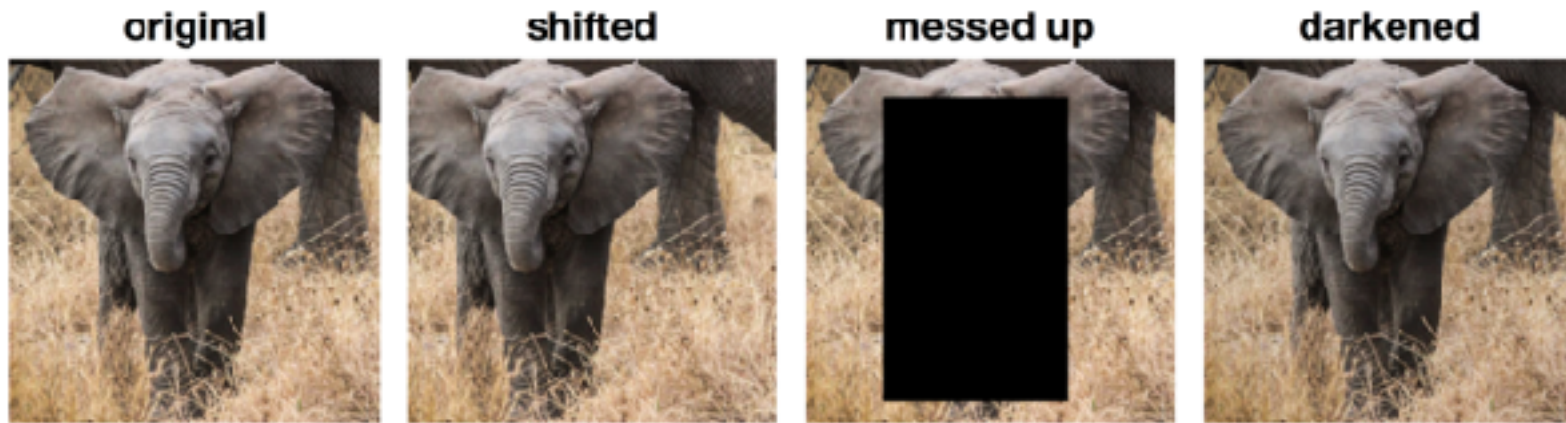
Each point: single  
outcome.

The line goes  
through the mean, bars  
indicated standard  
deviation

(Seems that  $k \approx 7$  works best  
for this data)

## k-Nearest Neighbor on *raw* images is **never used**.

- terrible performance at test time
- distance metrics on level of whole images can be very unintuitive



(all 3 images have same L2 distance to the one on the left)

# Linear Classification

airplane



automobile



bird



cat



deer



dog



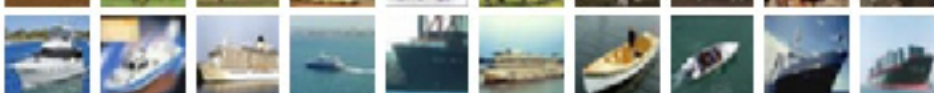
frog



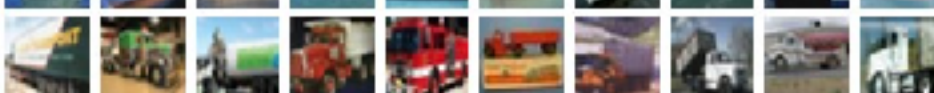
horse



ship



truck



Example dataset: **CIFAR-10**  
**10** labels  
**50,000** training images  
each image is **32x32x3**  
**10,000** test images.

# Parametric approach



image    parameters

$$f(\mathbf{x}, \mathbf{W})$$



**10** numbers,  
indicating class  
scores

**[32x32x3]**

array of numbers 0...1  
(3072 numbers total)



# Parametric approach: **Linear classifier**

$$f(x, W) = Wx$$



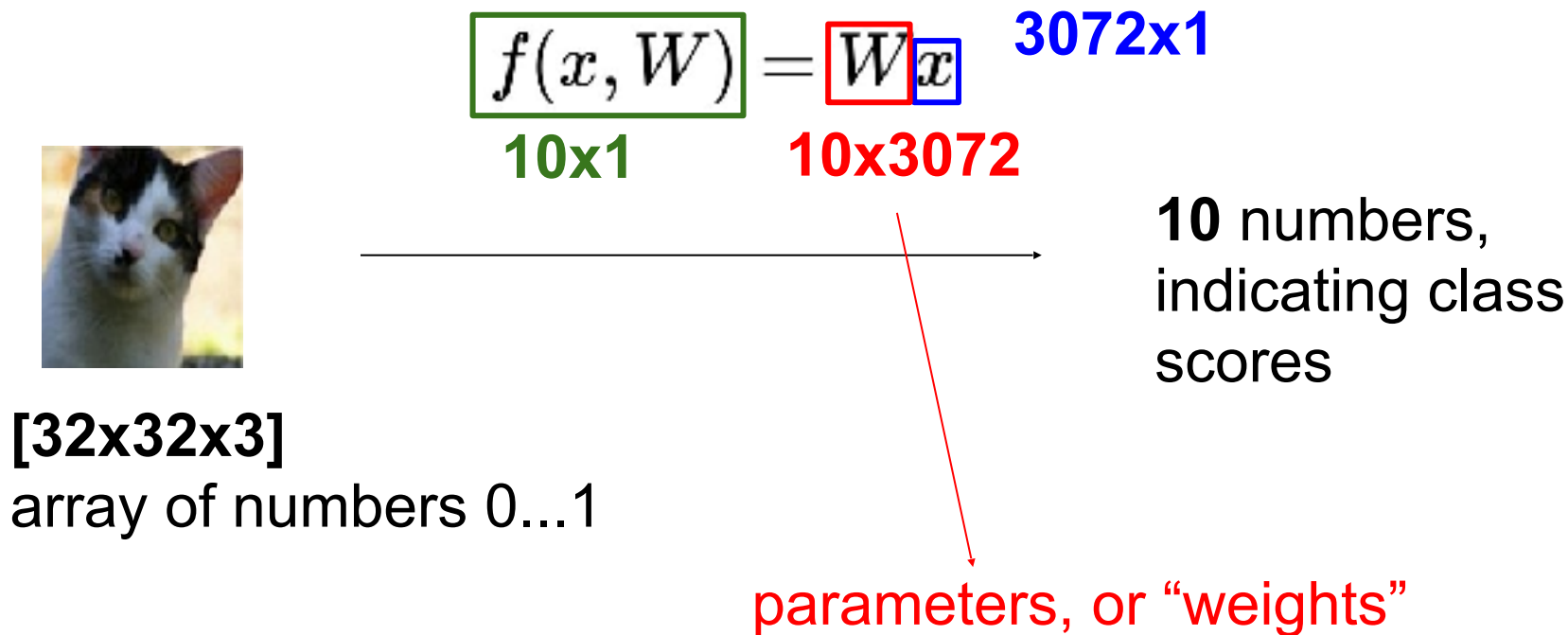
**[32x32x3]**

array of numbers 0...1



**10** numbers,  
indicating class  
scores

# Parametric approach: Linear classifier



# Parametric approach: Linear classifier



**[32x32x3]**

array of numbers 0...1

$$\boxed{f(x, W)} = \boxed{W} \boxed{x} \quad \boxed{(+b)} \quad 10 \times 1$$

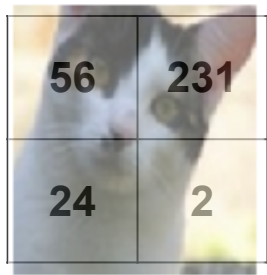
**10x1**      **10x3072**      **3072x1**

**10** numbers,  
indicating class  
scores

parameters, or “weights”

# Example with an image with 4 pixels, and 3 classes (cat/dog/ship)

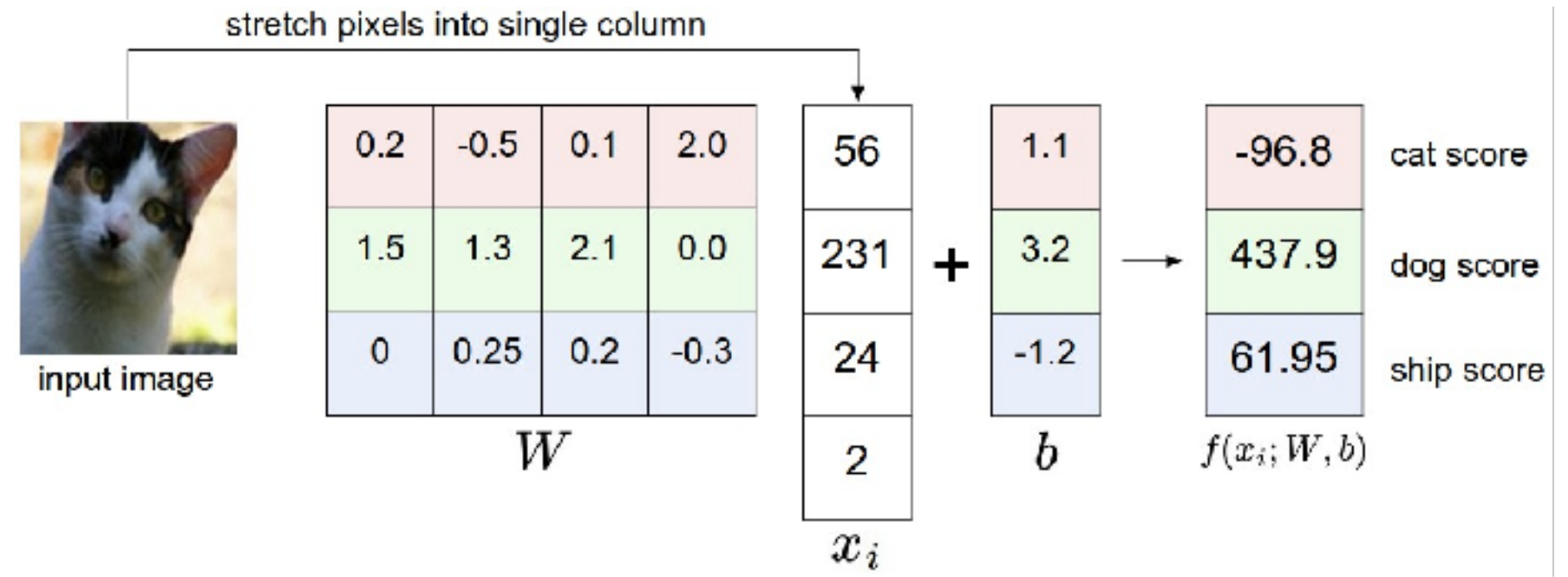
Flatten tensors into a vector



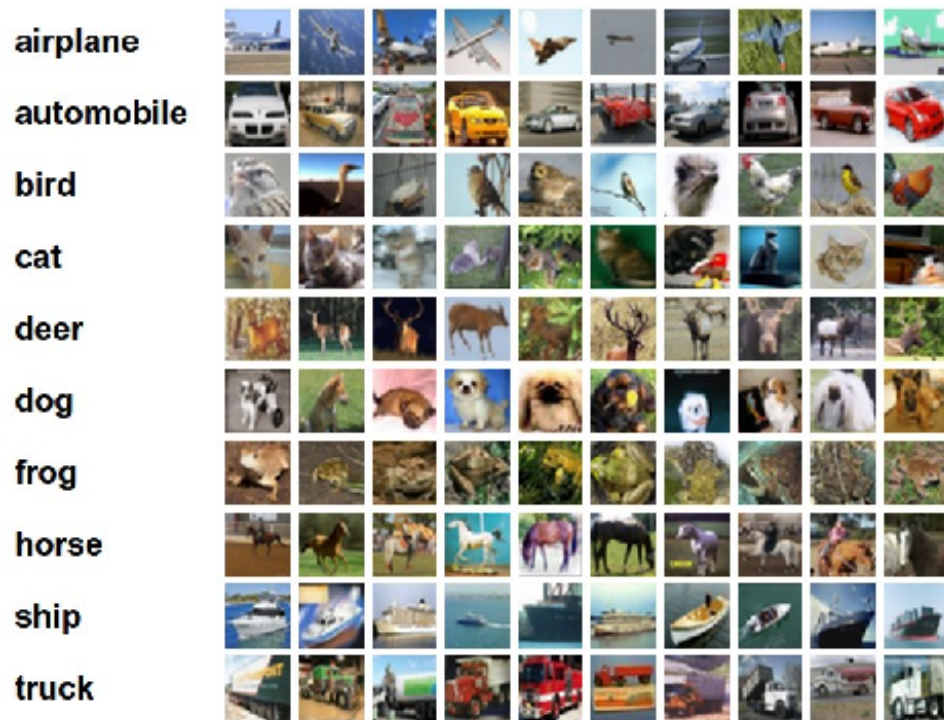
Input image



# Example with an image with 4 pixels, and 3 classes (cat/dog/ship)



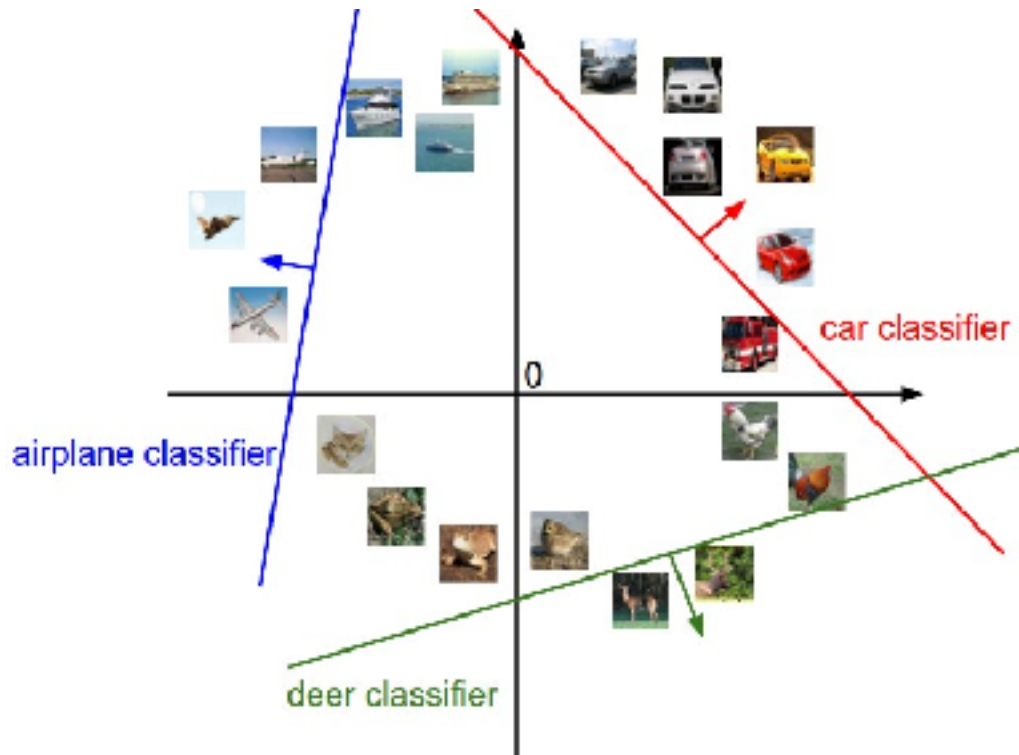
# Interpreting a Linear Classifier



$$f(x_i, W, b) = Wx_i + b$$

Q: what does the linear classifier do, in English?

# Interpreting a Linear Classifier



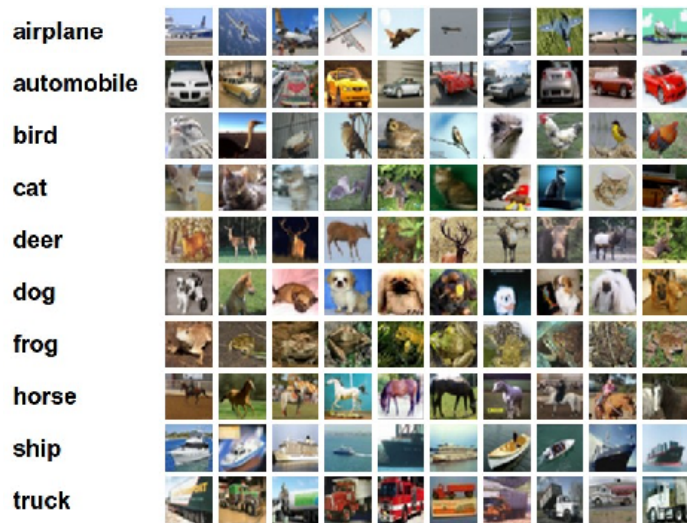
$$f(x_i, W, b) = Wx_i + b$$



**[32x32x3]**

array of numbers 0...1  
(3072 numbers total)

# Interpreting a Linear Classifier



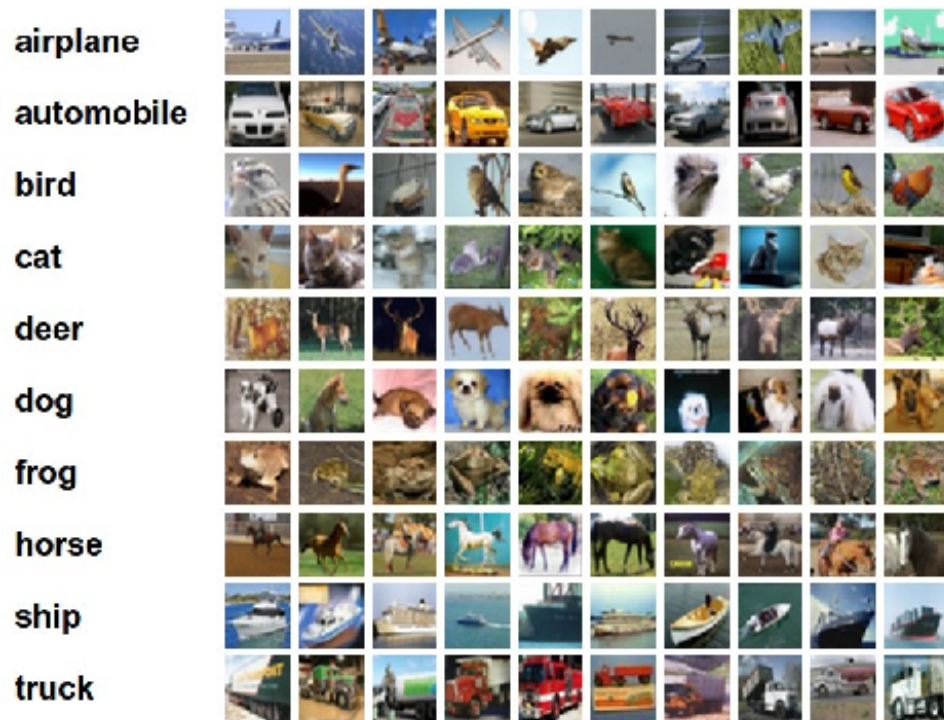
$$f(x_i, W, b) = Wx_i + b$$

Example trained weights  
of a linear classifier  
trained on CIFAR-10:





# Interpreting a Linear Classifier



$$f(x_i, W, b) = Wx_i + b$$

Q2: what would be a very hard set of classes for a linear classifier to distinguish?

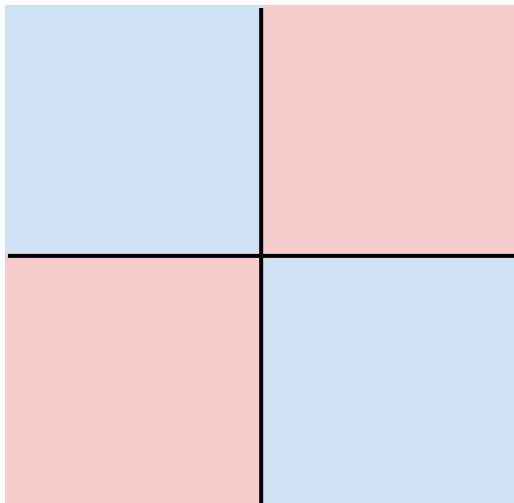
# Hard cases for a linear classifier

**Class 1:**

First and third quadrants

**Class 2:**

Second and fourth quadrants

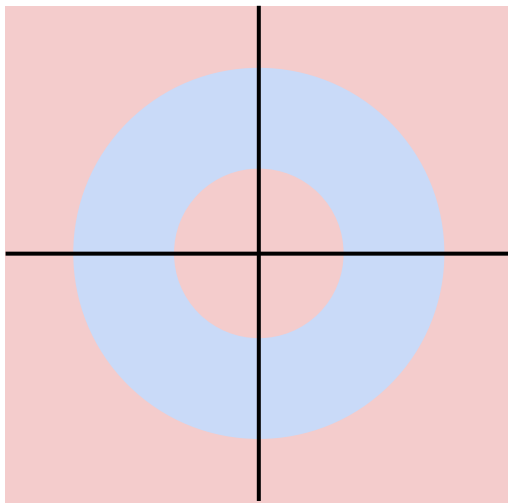


**Class 1:**

$1 \leq \text{L2 norm} \leq 2$

**Class 2:**

Everything else

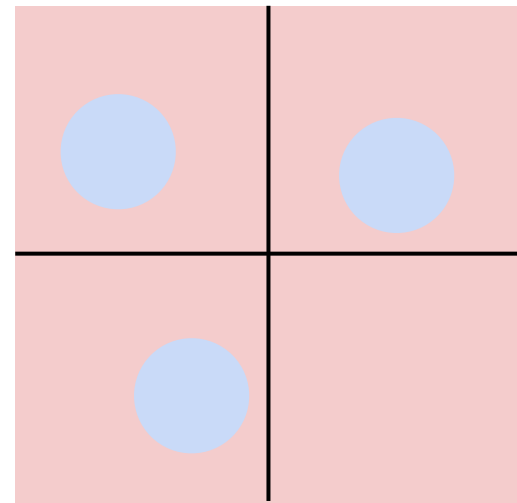


**Class 1:**

Three modes

**Class 2:**

Everything else



**So far:** We defined a (linear) **score function**:  $f(x_i, W, b) = Wx_i + b$

really *affine*



Example class  
scores for 3  
images, with a  
random  $W$ :

airplane	-3.45	-0.51	3.42
automobile	-8.87	<b>6.04</b>	4.64
bird	0.09	5.31	2.65
cat	<b>2.9</b>	-4.22	5.1
deer	4.48	-4.19	2.64
dog	8.02	3.58	5.55
frog	3.78	4.49	<b>-4.34</b>
horse	1.06	-4.37	-1.5
ship	-0.36	-2.09	-4.79
truck	-0.72	-2.93	6.14

$$f(x, W) = Wx$$

# Coming up:

- Loss function (quantifying what it means to have a “good”  $W$ )
- Optimization (start with random  $W$  and find a  $W$  that minimizes the loss)
- Neural nets! (tweak the functional form of  $f$ )

# Summary so far ... Linear classifier

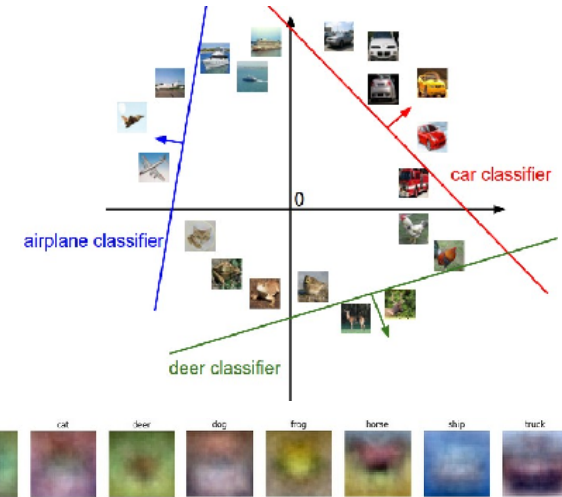
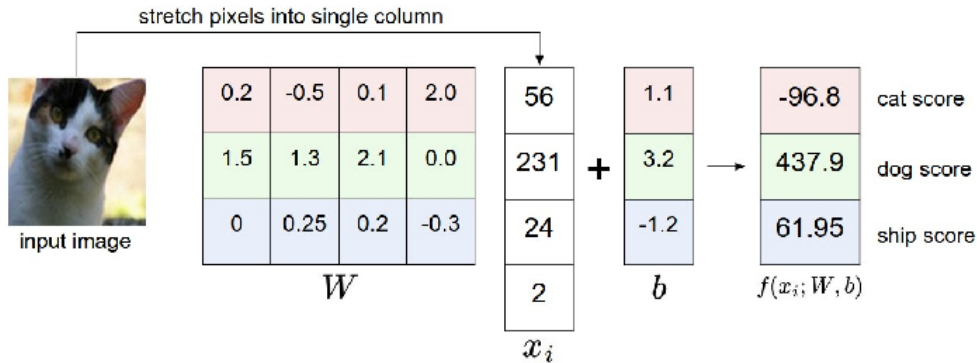


[32x32x3]

array of numbers 0...1  
(3072 numbers total)

image parameters  
 $f(\mathbf{x}, \mathbf{W})$

10 numbers, indicating  
class scores



# Loss function/Optimization



airplane	-3.45	-0.51	3.42
automobile	-8.87	<b>6.04</b>	4.64
bird	0.09	5.31	2.65
cat	<b>2.9</b>	-4.22	5.1
deer	4.48	-4.19	2.64
dog	8.02	3.58	5.55
frog	3.78	4.49	<b>-4.34</b>
horse	1.06	-4.37	-1.5
ship	-0.36	-2.09	-4.79
truck	-0.72	-2.93	6.14

TODO:

1. Define a **loss function** that quantifies our unhappiness with the scores across the training data.
1. Come up with a way of efficiently finding the parameters that minimize the loss function.  
**(optimization)**

Suppose: 3 training examples, 3 classes.  
With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>



Suppose: 3 training examples, 3 classes.  
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cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>

## Multiclass **SVM** loss:

Given an example  $(x_i, y_i)$   
where  $x_i$  is the image and  
where  $y_i$  is the (integer) label,

and using the shorthand for the  
scores vector:  $s_i = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>

Losses: **2.9**

## Multiclass SVM loss:

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and using the shorthand for the  
 scores vector:  $s_i = f(x_i, W)$

the SVM loss has the form:

$$\begin{aligned}
 L_i &= \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1) \\
 &= \max(0, 5.1 - 3.2 + 1) \\
 &\quad + \max(0, -1.7 - 3.2 + 1) \\
 &= \max(0, 2.9) + \max(0, -3.9) \\
 &= 2.9 + 0 \\
 &= 2.9
 \end{aligned}$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	

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 scores vector:  $s_i = f(x_i, W)$

the SVM loss has the form:

$$\begin{aligned}
 L_i &= \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1) \\
 &= \max(0, 1.3 - 4.9 + 1) \\
 &\quad + \max(0, 2.0 - 4.9 + 1) \\
 &= \max(0, -2.6) + \max(0, -1.9) \\
 &= 0 + 0 \\
 &= 0
 \end{aligned}$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	<b>12.9</b>

## Multiclass SVM loss:

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 scores vector:  $s_i = f(x_i, W)$

the SVM loss has the form:

$$\begin{aligned}
 L_i &= \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1) \\
 &= \max(0, 2.2 - (-3.1) + 1) \\
 &\quad + \max(0, 2.5 - (-3.1) + 1) \\
 &= \max(0, 6.3) + \max(0, 6.6) \\
 &= 6.3 + 6.6 \\
 &= 12.9
 \end{aligned}$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	<b>2.9</b>	<b>0</b>	<b>12.9</b>

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
 where  $x_i$  is the image and  
 where  $y_i$  is the (integer) label,

and using the shorthand for the  
 scores vector:  $s_i = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

and the full training loss is the mean  
 over all examples in the training data:

$$L = \frac{1}{N} \sum_{i=1}^N L_i$$

$$L = (2.9 + 0 + 12.9)/3 \\ = 5.3$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
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Losses:	2.9	0	12.9

## Multiclass SVM loss:

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 scores vector:  $s_i = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Q: what if the sum  
 was instead over all  
 classes?  
 (including  $j = y_i$ )

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
 where  $x_i$  is the image and  
 where  $y_i$  is the (integer) label,

and using the shorthand for the  
 scores vector:  $s_i = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Q2: what if we used a  
 mean instead of a  
 sum here?



Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:

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 where  $x_i$  is the image and  
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and using the shorthand for the  
 scores vector:  $s_i = f(x_i, W)$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Q3: what if we used

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)^2$$

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:

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 where  $x_i$  is the image and  
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the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Q4: what is the min/  
 max possible loss?

Suppose: 3 training examples, 3 classes.  
 With some  $W$  the scores  $f(x, W) = Wx$  are:



cat	<b>3.2</b>	1.3	2.2
car	5.1	<b>4.9</b>	2.5
frog	-1.7	2.0	<b>-3.1</b>
Losses:	2.9	0	12.9

## Multiclass SVM loss:

Given an example  $(x_i, y_i)$   
 where  $x_i$  is the image and  
 where  $y_i$  is the (integer) label,

and using the shorthand for the scores  
 vector:

$$s_i = f(x_i, W)$$

the SVM loss has the form:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

Q5: usually at  
 initialization  $W$  are small  
 numbers, so all  $s \approx 0$ .  
 What is the loss?

Example numpy code:

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

```
def L_i_vectorized(x, y, W):  
    scores = W.dot(x)  
    margins = np.maximum(0, scores - scores[y] + 1)  
    margins[y] = 0  
    loss_i = np.sum(margins)  
    return loss_i
```

## Coding tip: Keep track of dimensions:

```
N = X.shape[0]
D = X.shape[1]
C = W.shape[1]

scores=X.dot(W)           # (N,D)*(D,C)=(N,C)
```

# Softmax Classifier (Multinomial Logistic Regression)



cat	<b>3.2</b>
car	5.1
frog	-1.7

# Softmax Classifier (Multinomial Logistic Regression)



scores = unnormalized log probabilities of the classes.

$$s = f(x_i; W)$$

cat	3.2
car	5.1
frog	-1.7



# Softmax Classifier (Multinomial Logistic Regression)



scores = unnormalized log probabilities of the classes.

$$P(Y = k | X = x_i) = \frac{e^{s_k}}{\sum_j e^{s_j}} \quad \text{where} \quad s = f(x_i; W)$$

cat	3.2
car	5.1
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Softmax function

# Softmax Classifier (Multinomial Logistic Regression)



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Want to maximize the log likelihood, or (for a loss function) to minimize the negative log likelihood of the correct class:

$$L_i = -\log P(Y = y_i|X = x_i)$$

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---

in summary: 
$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

# Softmax Classifier (Multinomial Logistic Regression)



$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

cat

**3.2**

car

5.1

frog

-1.7

unnormalized log probabilities

# Softmax Classifier (Multinomial Logistic Regression)



$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

unnormalized probabilities

cat  
car  
frog

3.2

5.1

-1.7

exp

24.5

164.0

0.18

unnormalized log probabilities

# Softmax Classifier (Multinomial Logistic Regression)



$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

unnormalized probabilities

cat  
car  
frog

3.2

5.1

-1.7

exp

24.5

164.0

0.18

normalize

0.13

0.87

0.00

unnormalized log probabilities

probabilities  
>0, sum to 1

# Softmax Classifier (Multinomial Logistic Regression)



$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

unnormalized probabilities

cat  
car  
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3.2  
5.1  
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exp

24.5  
164.0  
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0.13  
0.87  
0.00

$$L_i = -\log(0.13) = 0.89$$

unnormalized log probabilities

probabilities



# Softmax Classifier (Multinomial Logistic Regression)



$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

unnormalized probabilities

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0.13  
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probabilities

# Softmax Classifier (Multinomial Logistic Regression)



$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

unnormalized probabilities

Q: What is the min/max possible loss  $L_i$ ?

cat  
car  
frog

3.2

5.1

-1.7

exp

24.5

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0.18

normalize

0.13

0.87

0.00

$$L_i = -\log(0.13) = 0.89$$

unnormalized log probabilities

probabilities

# Softmax Classifier (Multinomial Logistic Regression)



$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

unnormalized probabilities

Q2: usually at initialization  $W$  are small numbers, so all  $s \approx 0$ . What is the loss?

cat  
car  
frog

3.2

5.1

-1.7

exp

24.5

164.0

0.18

normalize

0.13

0.87

0.00

$$L_i = -\log(0.13) = 0.89$$

unnormalized log probabilities

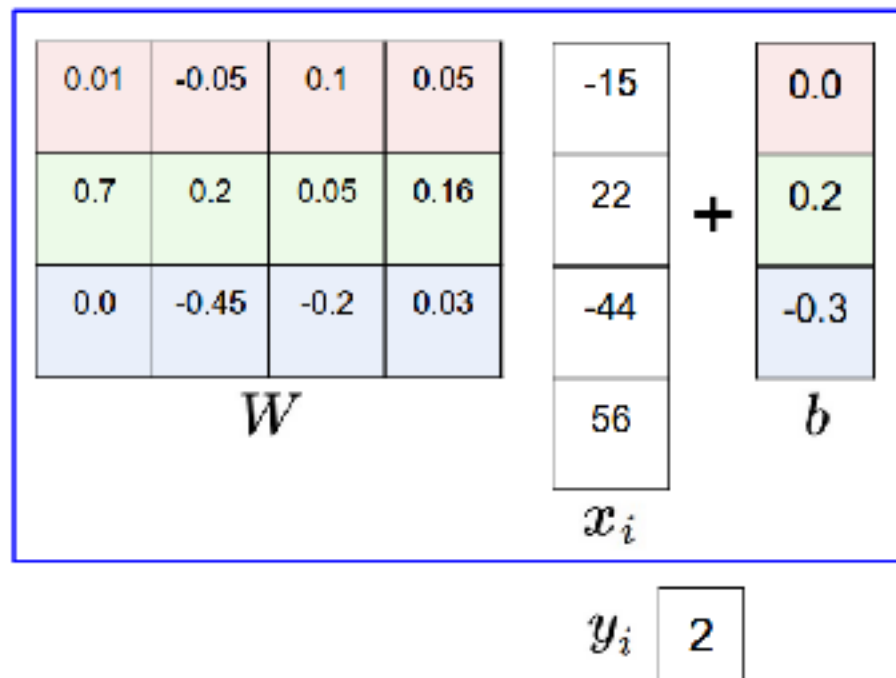
probabilities

# Softmax vs. SVM

$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

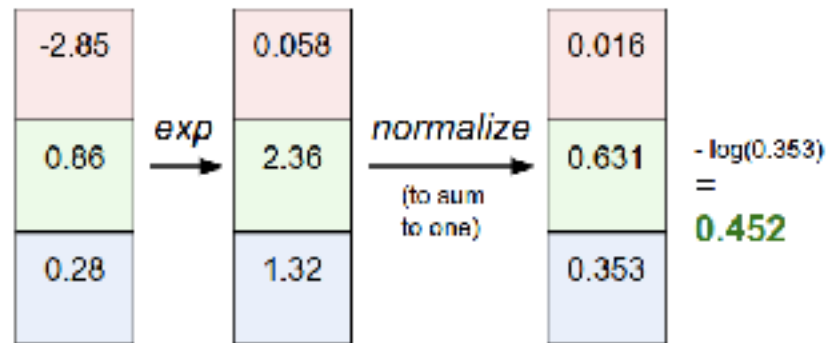
matrix multiply + bias offset



hinge loss (SVM)

$$\begin{aligned} &\max(0, -2.85 - 0.28 + 1) + \\ &\max(0, 0.86 - 0.28 + 1) \\ &= \\ &\mathbf{1.58} \end{aligned}$$

cross-entropy loss (Softmax)



# Softmax vs. SVM

$$L_i = -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1)$$

assume scores:

[10, -2, 3]

[10, 9, 9]

[10, -100, -100]

and

$$y_i = 0$$

Q: Suppose I take a datapoint and I jiggle a bit (changing its score slightly). What happens to the loss in both cases?

# Coming up:

- Regularization
- Optimization

$$f(x, W) = Wx + b$$

